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# SHIMURA CURVES OVER FINITE FIELDS AND THEIR RATIONAL POINTS(Algebraic Number Theory and Related Topics)

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## SHIMURA CURVES OVER FINITE FIELDS AND THEIR RATIONAL POINTS

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### 0. INTRODUCTION

This is a brief survey of a series of our old works on the title subject. We assume no prerequisites on Shimura varieties to understand what the main results are. We are going to remind you that just as each torsion-free discrete subgroup of  $PSL_2(\mathbb{R})$  with compact quotient determines a compact Riemann surface of genus  $\geq 2$ , each torsion-free discrete subgroup  $\Gamma$  of  $PSL_2(\mathbb{R}) \times PSL_2(F_p)$  ( $F_p$ : a  $p$ -adic field) with compact quotient, whose projection to each component is dense, determines a proper smooth irreducible curve  $\mathbb{X}_\Gamma$  of genus  $g \geq 2$  over the finite field  $\mathbb{F}_q$ , where  $q = N(p)^2$ , together with a special set  $S_\Gamma$  of  $\mathbb{F}_q$ -rational points of  $\mathbb{X}_\Gamma$  with cardinality  $(\sqrt{q}-1)(g-1)$ , such that  $\Gamma \rightsquigarrow (\mathbb{X}_\Gamma, S_\Gamma)$  is functorial in the obvious sense. Subgroups of  $\Gamma$  with finite indices and finite unramified irreducible coverings of  $\mathbb{X}_\Gamma$  over  $\mathbb{F}_q$ , in which all points of  $S_\Gamma$  decompose completely, correspond bijectively with each other. Moreover the Frobenius element of each closed point of  $\mathbb{X}_\Gamma - S_\Gamma$  in these coverings can be described by "the corresponding positive primitive  $\mathbb{R}$ -elliptic  $\Gamma$ -conjugacy class". It is unknown which  $(\mathbb{X}, S)$  corresponds with some  $\Gamma$ , but when  $(\mathbb{X}, S) = (\mathbb{X}_\Gamma, S_\Gamma)$ , the (finitely presented) discrete group  $\Gamma$  is just so large that a certain group-theoretically characterizable conjugacy classes ("positive primitive ...") of  $\Gamma$  correspond *bijectively* with the closed points of  $\mathbb{X} - S$ , via Frobenius correspondences in this tower of coverings. On the one hand, this gives an equality between the zeta function of  $\mathbb{X}_\Gamma - S_\Gamma$  and a Selberg type zeta function of  $\Gamma$ . From the point of view of the main subject of this conference, this theory can be regarded as giving the first known series of examples of curves over finite fields with many rational points ( $q = p^{2f}$  (even power) fixed,  $g \rightarrow \infty$ ). Our description of Frobenius elements of closed points of  $\mathbb{X}_\Gamma - S_\Gamma$  in terms of  $\Gamma$  can be used to check whether  $\mathbb{X}_\Gamma$  has more  $\mathbb{F}_q$ -rational points than  $S_\Gamma$ . It is a series of old works (conjectured during 1960's, proved during the 70's using works of Shimura, Morita and others), but because of close connections with the main subject of this conference, and because of rather scattered references, we shall take this opportunity and give a brief survey (somewhat more general than as described above), together with a guidance to references.

### 1. THE DISCRETE SUBGROUPS

The basic datum defining each commensurability class of discrete subgroups  $\Gamma$  is a pair of a quaternion algebra  $B$  over a totally real number field  $F$  and a non-archimedean place  $\mathfrak{p}$  of  $F$  satisfying certain conditions. Let

- $F$ : a totally real number field,  $d = [F : \mathbb{Q}]$ ,
- $\infty_i (1 \leq i \leq d)$ : the embeddings  $F \hookrightarrow \mathbb{R}$  into the reals,
- $\mathfrak{p}$ : a non-archimedean place of  $F$ ,

$F_p$ : the  $p$ -adic completion of  $F$ .

Let  $B$  be a quaternion algebra over  $F$  which is *unramified* at  $\infty_1$  and  $p$ , and *ramified* at  $\infty_2, \dots, \infty_d$ . In other words,  $B$  is an algebra over  $F$  such that

$$(1) \quad B \otimes_{F, \infty_1} \mathbb{R} \xrightarrow{\sim} M_2(\mathbb{R}), \quad B \otimes_{F, p} F_p \xrightarrow{\sim} M_2(F_p)$$

but that

$$(1)' \quad B \otimes_{F, \infty_i} \mathbb{R} \not\xrightarrow{\sim} M_2(\mathbb{R}) \quad (2 \leq i \leq d),$$

where  $M_2(\quad)$  denotes the matrix algebra of degree 2. (A word about the existence and a parametrization of such  $B$ . For any given finite set  $\{q_1, \dots, q_r\}$  ( $r \geq 0$ ) of distinct non-archimedean places of  $F$  such that  $q_j \neq p$  ( $1 \leq j \leq r$ ) and  $d-1+r \equiv 0 \pmod{2}$ , there exists by the Hasse principle a unique  $F$ -isomorphism class of  $B$  ramified *exactly* at the places  $\infty_i$  ( $2 \leq i \leq d$ ) and  $q_j$  ( $1 \leq j \leq r$ ).) Fix two  $\mathbb{R}$ - (resp.  $F_p$ -) isomorphisms in (1), and call them  $i_{\mathbb{R}}$  (resp.  $i_p$ ). Consider a locally compact group

$$(2) \quad G = G_{\mathbb{R}} \times G_p,$$

where

$$(3)_{\mathbb{R}} \quad G_{\mathbb{R}} = PL_2^+(\mathbb{R}) = SL_2(\mathbb{R})/\{\pm 1\},$$

$$(3)_p \quad G_p = PL_2^+(F_p) = \{g \in GL_2(F_p); \text{ord}_p(\det g) \equiv 0 \pmod{2}\}/F_p^\times$$

( $\text{ord}_p$ : the normalized additive discrete valuation of  $F_p$ ). Note that  $G_p$  contains  $PSL_2(F_p) = SL_2(F_p)/\{\pm 1\}$  as an open normal subgroup with index a power of 2 (equals to 2 if  $p \nmid 2$ ). Define a commensurability class  $\mathcal{L}_{B,p}$  of discrete subgroups of  $G$  as follows. Let  $\mathcal{O}_F^{(p)} = \bigcup_{n \geq 0} p^{-n} \mathcal{O}_F$  ( $\mathcal{O}_F$ : the ring of integers of  $F$ ), and let  $\mathcal{O}$  be any  $\mathcal{O}_F^{(p)}$ -order in  $B$ , i.e., a subring of  $B$  containing 1 which is a finite  $\mathcal{O}_F^{(p)}$ -module satisfying  $F \cdot \mathcal{O} = B$ . Put

$$(4) \quad \Gamma(\mathcal{O}) = \{\gamma \in \mathcal{O}; N_{B/F}(\gamma) = 1\}/\{\pm 1\} \xrightarrow[\text{via } i_{\mathbb{R}} \times i_p]{} G.$$

Here,  $N_{B/F}$  is the reduced norm, which corresponds with the matrix determinant via (1). Let  $\mathcal{L}_{B,p}$  denote the set of all subgroups  $\Gamma$  of  $G$  that are *commensurable with*  $\Gamma(\mathcal{O})$  (i.e.,  $\Gamma \cap \Gamma(\mathcal{O})$  has finite indices both in  $\Gamma$  and in  $\Gamma(\mathcal{O})$ ). Then  $\mathcal{L}_{B,p}$  is independent of the choice of  $\mathcal{O}$ . It depends on  $i_{\mathbb{R}}, i_p$ , but the effect of changing these isomorphisms is merely that  $\mathcal{L}_{B,p}$  is replaced by its conjugate by an element of  $PL_2(\mathbb{R}) \times PL_2(F_p)$ . Each  $\Gamma \in \mathcal{L}_{B,p}$  is a discrete subgroup of  $G$  whose quotient  $G/\Gamma$  has a finite invariant volume. The projections  $\Gamma \rightarrow G_{\mathbb{R}}, \Gamma \rightarrow G_p$  are always injective, and the image is dense in  $G_{\mathbb{R}}$  (resp. the closure of the image in  $G_p$  contains  $PSL_2(F_p)$ ). Moreover,

- (i) the initial data  $F, \infty_1, B, p$  can be recovered from  $\mathcal{L}_{B,p}$ ;
- (ii) all irreducible lattices in  $G$  are obtained this way (a special case of Margulis [Ma]).

Here, by an *irreducible* lattice in  $G$ , we mean a discrete subgroup  $\Gamma \subset G$  such that  $G/\Gamma$  has finite invariant volume, which is not commensurable with a product of discrete subgroups of  $G_{\mathbb{R}}$  and of  $G_p$ .

When  $F = \mathbb{Q}$  and  $B = M_2(\mathbb{Q})$ ,  $\mathcal{L}_{B,p}$  is the commensurability class of discrete subgroups of  $PL_2^+(\mathbb{R}) \times PL_2^+(\mathbb{Q}_p)$  represented by  $PSL_2(\mathbb{Z}[\frac{1}{p}])$ . This case is referred to as the *elliptic modular case*. In this case,  $G/\Gamma$  is non-compact. In other cases,  $B$  is a division algebra, and  $G/\Gamma$  is compact for any  $\Gamma \in \mathcal{L}_{B,p}$  (referred to as the *division case*).

In each case, each  $\Gamma \in \mathcal{L}_{B,p}$  contains a subgroup of finite index which is torsion-free. We shall denote by  $\mathcal{L}_{B,p}^0$  the subset of  $\mathcal{L}_{B,p}$  formed of all such  $\Gamma \in \mathcal{L}_{B,p}$  that are *torsion-free*. Each group  $\Gamma \in \mathcal{L}_{B,p}$  is residually finite, i.e., the intersection of all subgroups of  $\Gamma$  with finite indices reduces to  $\{1\}$ , or equivalently, the canonical homomorphism  $\Gamma \rightarrow \hat{\Gamma}$  to the profinite completion is injective.

Let  $F^{ab}$  denote the maximal abelian extension of  $F$  (in  $\mathbb{C}$ , w.r.t.  $\infty_1$ ). We shall pick and fix an extension  $\tilde{p}$  of  $p$  in  $F^{ab}$ .

## 2. THE MAIN RESULTS

**Main Theorem.** *Let  $B/F$ ,  $p$ ,  $\tilde{p}$ ,  $i_R$ ,  $i_p$  be as above, and put  $q = N(p)^2$ . Then:*

(i) *To each  $\Gamma \in \mathcal{L}_{B,p}^0$  is canonically associated a triple  $\mathcal{X}_\Gamma = (\mathbb{X}_\Gamma; S_\Gamma, T_\Gamma)$ , where*

$\mathbb{X}_\Gamma$  : *a proper smooth irreducible curve over  $\mathbb{F}_q$ ,*

$S_\Gamma \subset \mathbb{X}_\Gamma(\mathbb{F}_q)$  : *a non-empty set of  $\mathbb{F}_q$ -rational points of  $\mathbb{X}_\Gamma$  (called "special points"),*

$T_\Gamma \subset \mathbb{X}_\Gamma(\bar{\mathbb{F}}_q) - S_\Gamma$  : *a finite set of points (called "cusps"), stable under conjugations over  $\mathbb{F}_q$ ;  $T_\Gamma = \emptyset \Leftrightarrow$  the division case.*

*It is such that there exists a rational differential  $\omega_\Gamma$  on  $\mathbb{X}_\Gamma$  of order  $\sqrt{q} - 1$ , holomorphic outside  $T_\Gamma$  (i.e., an element of  $H^0(\mathbb{X}_\Gamma - T_\Gamma, (\Omega_{\mathbb{X}_\Gamma}^1)^{\otimes (\sqrt{q}-1)})$ ), whose divisor is*

$$(5) \quad (\omega_\Gamma) = 2S_\Gamma - (\sqrt{q} - 1)T_\Gamma;$$

*in particular, the cardinality of  $S_\Gamma$  is given by*

$$(6) \quad \#(S_\Gamma) = (\sqrt{q} - 1)(g_\Gamma - 1 + \frac{1}{2}\#(T_\Gamma)),$$

$g_\Gamma$  being the genus of  $\mathbb{X}_\Gamma$ .

*The association  $\Gamma \mapsto \mathcal{X}_\Gamma$  is functorial in the following sense. For any  $\Gamma, \Gamma' \in \mathcal{L}_{B,p}^0$ , there is a canonical bijection*

$$(7) \quad \begin{array}{ccc} \text{Hom}(\Gamma', \Gamma) & \approx & \text{Hom}(\mathcal{X}_{\Gamma'}, \mathcal{X}_\Gamma) \\ \parallel & & \parallel \\ \{\Gamma g \ (g \in G); \ g\Gamma'g^{-1} \subset \Gamma\} & & \{f : \mathbb{X}_{\Gamma'} \rightarrow \mathbb{X}_\Gamma, \text{ a finite } \mathbb{F}_q\text{-morphism} \\ & & \text{s.t. } f^{-1}(S_\Gamma) = S_{\Gamma'}, f^{-1}(T_\Gamma) = T_{\Gamma'}, f: \\ & & \text{tamely ramified, and unramified outside } T_{\Gamma'}\}. \end{array}$$

(ii) *Conversely, if  $\Gamma \in \mathcal{L}_{B,p}^0$  and if  $f : \mathbb{X}' \rightarrow \mathbb{X}_\Gamma$  is a finite irreducible tamely ramified covering over  $\mathbb{F}_q$ , unramified outside  $T_\Gamma$ , such that*

$$(8) \quad f^{-1}(S_\Gamma) \subset \mathbb{X}'(\mathbb{F}_q),$$

*then there exists  $\Gamma' \subset \Gamma$  with finite index such that  $\mathbb{X}' = \mathbb{X}_{\Gamma'}$  and that  $f$  corresponds with  $\Gamma \cdot 1 \in \text{Hom}(\Gamma', \Gamma)$ . In particular, as for the profinite completion  $\hat{\Gamma}$  of  $\Gamma$ ,*

$$(9) \quad \hat{\Gamma} \xrightarrow{\sim} \pi_1^{\text{tame}}(\mathbb{X}_\Gamma - T_\Gamma) / (\text{Frobenius conjugacy classes above } S_\Gamma),$$

*where  $\pi_1^{\text{tame}}(\ )$  denotes the tame fundamental group.*

(iii) *There is a canonical bijection*

$$(10) \quad \begin{array}{ccc} \{\mathbb{X}_\Gamma(\bar{\mathbb{F}}_q) - S_\Gamma - T_\Gamma\} / \mathbb{F}_q\text{-conjugacy} & \approx & \left\{ \begin{array}{c} \text{"Positive primitive } \mathbb{R}\text{-elliptic"} \\ \Gamma\text{-conjugacy classes} \end{array} \right\} \\ P & \longleftrightarrow & c_P \end{array}$$

such that the  $\hat{\Gamma}$ -conjugacy class determined by  $c_P$  is the Frobenius element of  $P$  in  $\hat{\Gamma}$ . Here, a  $\Gamma$ -conjugacy class, represented by  $\gamma \in \Gamma$ , is called  $\mathbb{R}$ -elliptic if the projection  $\gamma_{\mathbb{R}}$  of  $\gamma$  on  $G_{\mathbb{R}}$  has imaginary eigenvalues  $\pm\{\lambda, \lambda^{-1}\}$ , primitive if  $\gamma$  generates its centralizer in  $\Gamma$ , and positive if  $\text{ord}_p(\lambda) > 0$ , where  $\lambda$  is so chosen that the corresponding eigen (column) vector  ${}^t(\omega_1, \omega_2)$  has the property  $\text{Im}(\omega_1/\omega_2) > 0$ . This bijection preserves the degree,

$$(11) \quad \deg P = \deg c_P,$$

where  $\deg P$  is the degree of  $P$  over  $\mathbb{F}_q$ , and  $\deg c_P = \text{ord}_p(\lambda)$ .

### 3. VARIOUS REMARKS

(A) The above theorem can be generalized to the case where  $\Gamma \in \mathcal{L}_{B,p}$  has torsion, but the description becomes more complicated. The basic fact is that when  $\Gamma \in \mathcal{L}_{B,p}$  and  $\Gamma'$  is a torsion-free normal subgroup of  $\Gamma$  with finite index,  $\Gamma/\Gamma'$  acts on  $\mathcal{X}_{\Gamma'}$  (via (7) for  $\text{Hom}(\Gamma', \Gamma')$ ) and  $\mathcal{X}_\Gamma$  is its quotient.

(B) The above isomorphism (9) (in Theorem (ii)) gives some informations on  $\pi_1^{\text{tame}}(\mathbb{X}_\Gamma - T_\Gamma)$ . Note that this is *not* restricted to the prime-to- $p$  part.

(C) By Theorem (iii), we can compute  $\#\mathbb{X}_\Gamma(\mathbb{F}_{q^m})$  ( $m \geq 1$ ) knowing  $\Gamma$  but without knowing explicit equations defining the curve  $\mathbb{X}_\Gamma$ .

(D) *The congruence subgroup property for  $\Gamma$ .* Whether every subgroup of  $\Gamma$  with finite index contains some congruence subgroup (congruences in the orders of the corresponding quaternion algebra  $B$ ) is generally unknown. This is known to be valid when  $B = M_2(\mathbb{Q})$  (Mennicke ( $p = 2$ ), Serre (general) [Se<sub>1</sub>]), but unknown in the division quaternion cases. When  $\Gamma = PSL_2(\mathbb{Z}[\frac{1}{p}])$ , by this property,  $\hat{\Gamma} \cong (\prod_{l \neq p} SL_2(\mathbb{Z}_l)) / \{\pm 1\}$ .

(E) *Advantages of relating to  $\Gamma$ .* Theorem (iii) is one of them. That Theorem (ii) can be proved without using the congruence subgroup property for  $\Gamma$ , is also an advantage of using  $\Gamma$  (instead of its adelic version).

(F) *Many  $\mathbb{F}_q$ -rational points.* The curve  $\mathbb{X}_\Gamma$  has at least

$$(\sqrt{q} - 1)(g_\Gamma - 1)$$

number of  $\mathbb{F}_q$ -rational points. This gave rise to the inequality

$$A(q) \geq \sqrt{q} - 1 \quad (\text{cf. [I}_{11}\text{]})$$

for  $q = p^{2f}$ .

(G) We know more about the structure of the set  $S_\Gamma$  (esp. its relation with the canonical divisor). Can we not make use of this for further applications to coding theory? For example, the above theorem gives immediately:

$$(12) \quad \text{Jac}(\mathbb{X}_\Gamma)(\mathbb{F}_q) / \langle s - s'; s, s' \in S_\Gamma \rangle \cong_{\text{canon}} \Gamma^{ab},$$

where  $\text{Jac}(X_\Gamma)$  is the Jacobian variety, and  $\Gamma^{ab}$  is the abelianization of  $\Gamma$  (which is always finite and is computable).

#### 4. HOW TO CONSTRUCT $\mathcal{X}_\Gamma$ FROM $\Gamma$

As is well-known,  $G_p = PL_2^+(F_p)$  is a free product of two maximal compact subgroups

$$(13) \quad U_p = PL_2(\mathcal{O}_p) = GL_2(\mathcal{O}_p)/\mathcal{O}_p^\times \quad \text{and} \quad U'_p = \begin{pmatrix} \pi & 0 \\ 0 & 1 \end{pmatrix}^{-1} U_p \begin{pmatrix} \pi & 0 \\ 0 & 1 \end{pmatrix}$$

with amalgamated subgroup  $U_p^0 = U_p \cap U'_p$ , where  $\mathcal{O}_p$  is the ring of integers of  $F_p$  and  $\pi$  is a prime element of  $F_p$ . More intrinsically, the  $G_p$ -conjugacy class of the pair  $\{U_p, U'_p\}$  can be understood as the pair of stabilizers of adjacent vertices of the (regular bipartite) tree associated with  $G_p$ . Let  $\Delta, \Delta', \Delta^0 = \Delta \cap \Delta'$  be the pull-backs of  $U_p, U'_p, U_p^0$ , respectively, via the projection  $\Gamma \rightarrow G_p$ , and for any subgroup  $H \subset \Gamma$ , let  $H_R$  denote the image of  $H$  under the (injective) projection  $\Gamma \rightarrow G_R$ . Then  $\Delta_R, \Delta'_R, \Delta_R^0$  are discrete subgroups of  $G_R$  with finite-volume quotients, and  $\Gamma_R$  is a free product of  $\Delta_R$  and  $\Delta'_R$  with amalgamated subgroup  $\Delta_R^0$ . The group  $G_R$  acts on the Poincaré upper half plane  $\mathcal{H}$  in the usual manner, and the quotients  $\Delta_R \backslash \mathcal{H}, \Delta'_R \backslash \mathcal{H}, \Delta_R^0 \backslash \mathcal{H}$  are compact (resp. can be compactified by addition of finitely many cusps) according to whether  $B \not\simeq M_2(\mathbb{Q})$  (resp.  $B \simeq M_2(\mathbb{Q})$ ). Call  $\mathcal{R}, \mathcal{R}', \mathcal{R}^0$  the compact Riemann surfaces thus obtained from  $\Delta_R, \Delta'_R, \Delta_R^0$ , respectively, considered also as complex algebraic curves, and call  $\varphi: \mathcal{R}^0 \rightarrow \mathcal{R}, \varphi': \mathcal{R}^0 \rightarrow \mathcal{R}'$  the projections which are of degree  $N(p) + 1 (= (U_p : U_p^0) = (U'_p : U_p^0))$ . When  $\Gamma = PSL_2(\mathbb{Z}[\frac{1}{p}])$ ,

$$(14) \quad \Delta_R = PSL_2(\mathbb{Z}), \quad \Delta'_R = \begin{pmatrix} p & 0 \\ 0 & 1 \end{pmatrix}^{-1} \Delta_R \begin{pmatrix} p & 0 \\ 0 & 1 \end{pmatrix},$$

$$\Delta_R^0 = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \Delta_R; c \equiv 0 \pmod{p} \right\},$$

and hence  $\mathcal{R}$  is the (compactified) complex  $j$ -line,  $\mathcal{R}'$  can be identified with  $\mathcal{R}$  (via the automorphism  $\tau \rightarrow p\tau$  of  $\mathcal{H}$ ), and  $\mathcal{R}^0$  is the normalization of the graph on  $\mathcal{R} \times \mathcal{R}$  of the modular equation of degree  $p$ . In general, thanks to Shimura [Sh<sub>1</sub>][Sh<sub>2</sub>] (esp. [Sh<sub>2</sub>]), we know that there is a standard model of each of  $\mathcal{R}, \mathcal{R}', \mathcal{R}^0, \varphi, \varphi'$  over the maximal abelian extension  $F^{ab}$  of  $F$ , and moreover that each curve (say  $\mathcal{R}$ ) has various models over subextensions of  $F^{ab}/F$  depending on the choice of adelic open compact subgroups  $U_A$  of  $B_A^\times$  (the adèle group of  $B^\times$ ) with which " $pr_{\infty_1}(U_A \cap (B^\times)^+) = \Delta_R$ ". Here, we choose what we called the " $p$ -canonical model". Let  $F^{(p)}$  denote the decomposition field of  $p$  in  $F^{ab}/F$ , and  $F^{(p^2)}/F^{(p)}$  the unique quadratic subextension in  $F^{ab}/F^{(p)}$  in which  $\tilde{p}$  is unramified. Then there is a canonical model of the system

$$(15) \quad \mathcal{R} \xleftarrow{\varphi} \mathcal{R}^0 \xrightarrow{\varphi'} \mathcal{R}'$$

over  $F^{(p^2)}$  ([I<sub>8</sub>], I§6). The key word for the definition is "divide by the scalars  $F_p^\times$ ". Its conjugate over  $F^{(p)}$  is the transpose of (15). So far, the objects constructed depend on  $\Gamma$  and  $p$  but not on  $\tilde{p}$ . But the next object, i.e., the system of curves obtained by reduction mod  $\tilde{p}$  of (15), will depend on the choice of an extension  $\tilde{p}$  of  $p$ . By Shimura [Sh<sub>2</sub>], Morita [Mo] and others (cf. [I<sub>12</sub>, (§4)]), it is known that  $\mathcal{R}$

has a good reduction at  $\tilde{p}$  (call it  $\mathbb{X}$ ), and moreover that the reduction mod  $\tilde{p}$  of (15) can be described as follows:

$$(15)_{\tilde{p}} \quad \mathbb{X} \xleftarrow{\varphi_p} \Pi \cup \Pi' \xrightarrow{\varphi'_p} \mathbb{X}'$$

$\mathbb{X}$ : a proper smooth irreducible curve over  $\mathbb{F}_q$  ( $q = N(p)^2$ ),

$\mathbb{X}'$ : the  $\mathbb{F}_{\sqrt{q}}$ -conjugate of  $\mathbb{X}$ ,

$\varphi_p|_{\Pi}, \varphi'_p|_{\Pi'}$  are isomorphisms, and  $(15)_{\tilde{p}}$  induces the following two commutative diagrams

$$(16) \quad \begin{array}{ccc} & \Pi & \\ \varphi_p|_{\Pi} \swarrow & & \searrow \varphi'_p|_{\Pi} \\ \mathbb{X} & \xrightarrow{\quad} & \mathbb{X}' \\ & \sqrt{q}\text{-th power morphism} & \end{array} \quad \begin{array}{ccc} & \Pi' & \\ \varphi_p|_{\Pi'} \swarrow & & \searrow \varphi'_p|_{\Pi'} \\ \mathbb{X} & \xleftarrow{\quad} & \mathbb{X}' \\ & \sqrt{q}\text{-th power morphism} & \end{array}$$

The intersection  $\Pi \cap \Pi'$  is non-empty, and  $\Pi, \Pi'$  meet transversally at each point of  $\Pi \cap \Pi'$ . The projection  $S_{\Gamma} = pr_X(\Pi \cap \Pi')$  is a non-empty subset of  $X(\mathbb{F}_q)$ . When  $B \cong M_2(\mathbb{Q})$ , cusps on  $\mathcal{R}$  are algebraic points, and the reduction mod  $\tilde{p}$  of cusps is injective, and the image is, by definition,  $T_{\Gamma}$ . A key lemma for the proof of Theorem (i)(ii) is that the strict categorical equivalence holds among

- (a) subgroups with finite indices of  $\Gamma$ ,
- (b) finite etale coverings of the system (15)
- (c) finite etale coverings of the system  $(15)_{\tilde{p}}$  ([I<sub>8</sub>], II§4).

The equivalence between (a) and (b) follows from the fact that  $\Gamma$  is a free product of  $\Delta$  and  $\Delta'$  with amalgamated subgroup  $\Delta^0$ , while that between (b) and (c) is quite delicate, because we include the case where the degree of the covering is divisible by  $p$ . A result of [I-M] is essential.

*About the bijection (10).* The association  $\{\gamma\}_{\Gamma} \rightarrow P$  is defined as follows. The projection  $\gamma_{\mathcal{R}}$  of  $\gamma$  on  $G_{\mathcal{R}}$  has a unique fixed point  $z$  on  $\mathcal{H}$  (because of the  $\mathbb{R}$ -ellipticity of  $\gamma$ ). The projection of  $z$  on  $\mathcal{R} = \Delta_{\mathcal{R}} \backslash \mathcal{H}$  is  $F^{ab}$ -rational (Shimura). Let  $P \in \mathbb{X}(\overline{\mathbb{F}}_q)$  be its reduction mod  $\tilde{p}$ . Then the  $\mathbb{F}_q$ -conjugacy class of  $P$  depends only on  $\{\gamma\}_{\Gamma}$ , and one can prove that (10) is bijective, using our study of the zeta function of  $\Gamma$ , etc. ([I<sub>1</sub>], [I<sub>8</sub>]).

**Remark 1.** Since  $\Gamma$  is a free product of two fuchsian groups  $\Delta, \Delta'$  with amalgamation  $\Delta^0$ , one knows, in principle, a way of presentation of  $\Gamma$  in terms of generators and relations. Suppose for simplicity that  $B \not\cong M_2(\mathbb{Q})$  and that  $\Gamma$  is torsion-free. Let  $g = g_{\Gamma}$ , the genus of  $\mathcal{R}$  (and of  $\mathcal{R}'$ ). Then  $g \geq 2$ , and  $\Delta$  (resp.  $\Delta'$ ) has standard generators  $a_1, \dots, a_g, b_1, \dots, b_g$  (resp.  $a'_1, \dots, a'_g, b'_1, \dots, b'_g$ ) which are subject to the relations

$$(17) \quad [a_1, b_1] \cdots [a_g, b_g] = 1, \quad [a'_1, b'_1] \cdots [a'_g, b'_g] = 1,$$

where  $[g, g'] = gg'g^{-1}g'^{-1}$ . Now the genus of  $\mathcal{R}^0$  is  $g^0 = 1 + (\sqrt{q} + 1)(g - 1)$ , and  $\Delta^0$  is generated by  $2g^0$  elements  $c_j$  ( $1 \leq j \leq 2g_0$ ) (subject to a single similar relation).

Now express each  $c_j$  in terms of the  $a_i, b_i$ 's, and also in terms of  $a'_i, b'_i$ 's:

$$(18) (c_j =) F_j(a_1, \dots, a_g; b_1, \dots, b_g) = G_j(a'_1, \dots, a'_g; b'_1, \dots, b'_g) \quad (1 \leq j \leq 2g^0).$$

Then  $\Gamma$  is generated by the  $a_i, b_i, a'_i, b'_i$  ( $1 \leq i \leq 2g$ ), and (17) and (18) give a system of defining relations.

**Remark 2.** Which  $(\mathbb{X}, S)$  corresponds with some  $\Gamma$ ? This question has not been answered. It is of course closely related to the question of liftability of the system  $(15)_{\mathfrak{p}}$  to (15), which is studied to some extent in [I<sub>7</sub>][I<sub>9</sub>][I<sub>10</sub>] (esp. [I<sub>10</sub>]).

## 5. ABOUT THE DIFFERENTIAL $\omega_{\Gamma}$

(A) The differential  $\omega_{\Gamma}$  is determined up to  $\mathbb{F}_q^{\times}$ -multiples, and is *independent* of the choice of  $\Gamma \in \mathcal{L}_{B, \mathfrak{p}}^0$ . This is because if  $\Gamma' \subset \Gamma$  with  $(\Gamma, \Gamma') < \infty$ , then  $S_{\Gamma'}$  (resp.  $T_{\Gamma'}$ ) consists of all points of  $\mathbb{X}_{\Gamma'}$  that lift  $S_{\Gamma}$  (resp.  $T_{\Gamma}$ ).

(B) The existence of  $\omega_{\Gamma}$  is closely related to the liftability of the system  $(15)_{\mathfrak{p}}$  to a system modulo  $\mathfrak{p}^2$  (see [I<sub>7</sub>]). Moreover,  $\omega_{\Gamma}$  is closely related to the solution of the reduction mod  $\mathfrak{p}$  of the Schwarzian differential equation defining the uniformization  $\mathcal{H} \rightarrow \Delta \backslash \mathcal{H} = \mathcal{R}$  ([I<sub>4</sub>][I<sub>5</sub>]). By using these, one can compute  $\mathcal{X} = (\mathbb{X}_{\Gamma}, S_{\Gamma}, T_{\Gamma})$  explicitly in some special cases ([I<sub>5</sub>]; see §6 below).

(C) There is also a  $p$ -adic differential  $\tilde{\omega}_{\Gamma}^{(1)}$  such that

$$\omega_{\Gamma} = (\tilde{\omega}_{\Gamma}^{(1)} \pmod{\mathfrak{p}})^{\otimes (\sqrt{q}-1)}$$

([I<sub>6</sub>], cf. [K]§2 for a published version). This  $\tilde{\omega}_{\Gamma}^{(1)}$  lives in a certain complete  $p$ -adic field whose residue field is an infinite cyclic extension of the function field  $\mathbb{F}_q(\mathbb{X})$  of  $\mathbb{X}$  whose Galois group is an open subgroup of  $\mathbb{Z}_p^{\times}$ . It is Galois semi-invariant, and defines a character

$$\chi_{\Gamma} : \pi_1(\mathbb{X}_{\Gamma} - S_{\Gamma}) \rightarrow \mathbb{Z}_p^{\times}.$$

## 6. EXAMPLES

**Example 1** Let  $F = \mathbb{Q}$  and  $B/\mathbb{Q}$  be the quaternion algebra ramified exactly at 2 and 3. Let  $p \neq 2, 3$ ,  $\mathcal{O}$  be a maximal  $\mathbb{Z}[\frac{1}{p}]$ -order in  $B$ , and put

$$\Gamma = \{\gamma \in \mathcal{O}; N_{B/\mathbb{Q}}(\gamma) = 1, \gamma \equiv 1 \pmod{\sqrt{6}\mathcal{O}}\} / \{\pm 1\},$$

where  $\sqrt{6}\mathcal{O}$  is the unique two-sided  $\mathcal{O}$ -ideal with reduced norm 6. This group  $\Gamma$  is torsion-free. In this case, one can show ([I<sub>5</sub>] §4.3, [I<sub>10</sub>] §3.1) that  $\mathbb{X}_{\Gamma}$  is the smooth compactification of the affine curve

$$y^2 = 1 + x^6$$

over  $\mathbb{F}_{p^2}$ , which is of genus 2, and  $S_{\Gamma}$  is the set of zeros of a hypergeometric polynomial of degree  $p-1$ . For example, if  $p \equiv 1 \pmod{24}$ ,  $S_{\Gamma}$  is the set of zeros of

$$F\left(\frac{1}{24}, \frac{5}{24}; \frac{1}{2}; t\right); \quad t = \frac{(x^6 - 1)^2}{(-4x^6)}.$$

Here,  $F(a, b; c; t) = 1 + \frac{a \cdot b}{1 \cdot c} t + \frac{a(a+1)b(b+1)}{1 \cdot 2 \cdot c(c+1)} t^2 + \dots \pmod{p}$ , which is truncated to be of degree  $\frac{p-1}{24}$  (in  $t$ ). See [I<sub>5</sub>] for more details and for other similar examples.



**Example 2**  $F = \mathbb{Q}(\sqrt{2})$ ,  $B/F$  is ramified exactly at  $\infty_2$ , (5).

Let  $\mathfrak{p} = (\sqrt{2})$ ,  $\mathcal{O}$  be a maximal  $\mathcal{O}_F^{(p)}$ -order in  $B$ , and put

$$\Gamma = \{\gamma \in \mathcal{O}; N_{B/F}(\gamma) = 1\} / \{\pm 1\}.$$

Then  $\mathbb{X}_\Gamma/\mathbb{F}_4$  is of genus 2,  $\#(S_\Gamma) = 1$ . In this case, I have not been able to compute  $\mathbb{X}_\Gamma$  and  $S_\Gamma$  explicitly.

**Example 3**  $\mathbb{X} \subset \mathbb{P}^2$  over  $\mathbb{F}_9$  is a smooth plane quartic defined by the homogeneous equation

$$X^3Y - XY^3 + XYZ^2 + Z^4 = 0.$$

The genus is 3. Let  $S$  be the 4 points of  $\mathbb{X}$  defined by  $Z = 0$ . Then  $\mathbb{X} \leftarrow \Pi_S^U \Pi' \rightarrow \mathbb{X}$  is liftable to a system over  $\mathbb{Z}_p$  (cf. [I<sub>10</sub>] §3.1). It is very plausible that this corresponds with some  $\Gamma$ . Find  $B, \Gamma$  for this system!

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